1	Growing underwater basil in Nemo's Garden <sup>®</sup> : phytochemical,
2	physiological and micromorphological analyses
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# 18 Highlights

19	1.	Nemo's Garden <sup>®</sup> underwater biospheres are a green, alternative agriculture system
20	2.	Underwater basil did not show micromorphological changes of the leaf indumentum
21	3.	Relevant differences were detected in the essential oil and head space compositions
22	4.	More photosynthetic pigments and polyphenols were produced in the underwater plants
23	5.	Basil seems well adapted: studies on other species are needed to evaluate a scale-up
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#### 25 Abstract

The need for alternative cultivation methods is urgent for regions of the world where cultivable areas are scarce: underwater areas are unexploited and vast. Nemo's Garden<sup>®</sup> Project aims at creating a green, alternative agriculture system: its biospheres are underwater greenhouses, developed for areas where plants growth is difficult in terrestrial conditions.

Basil was chosen as model plant to study its phytochemical, physiological, and micromorphological
characteristics in comparison with the same plants grown in terrestrial conditions in a CREA greenhouse.

While the micromorphological analyses show no detectable differences between the control and the biospheres samples, the phytochemical investigations evidenced a switch of the essential oil chemotype. The head-spaces were also different: sesquiterpenes dominated the biospheres samples, whereas oxygenated monoterpenes accounted for half the control sample emission. Differences also emerged in the physiological investigation: chlorophylls, carotenoids and polyphenols were present in higher amounts in the biospheres samples, with an increased antioxidant activity.

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- 39 Keywords: Ocimum basilicum; essential oil; HS-SPME; volatiles; glandular trichomes; photosynthetic
- 40 pigments; carotenoids; antioxidant activity; subaqueous biospheres

#### 42 **1. Introduction**

43 Ocimum basilicum L. is an annual culinary herb of the Lamiaceae family. Whilst it is native to Asia, it is 44 largely grown in Italy and it is extensively used in the Italian cuisine, in which it represents the main 45 ingredient of the Ligurian Pesto sauce. The trade importance of *O. basilicum* is relevant, as the 'Genovese' 46 variety has been conferred the PDO (Protected Designation of Origin).

47 Besides the traditional use in food, basil has been widely utilized as a flavoring agent, in perfumery and medical industry (Grayer et al., 2004; Özcan, Arslan, & Ünver, 2005; Politeo, Jukic, & Milos, 2007). The 48 49 leaves and flowering tops of the plant are perceived as carminative, galactogogue, stomachic and anti-50 spasmodic in folk medicine and its essential oil showed antimicrobial and antioxidant activities (Hussain, Anwar, Hussain Sherazi, & Przybylski, 2008). Moreover, basil contains phenolic antioxidant compounds, 51 free radical-scavengers, and metal chelators (Sgherri, Cecconami, Pinzino, Navari-Izzo, & Izzo, 2010). 52 Beyond culinary consumption, aromatic herbs such as basil are important sources of value-added products 53 54 like essential oils (EOs), which are used in many industrial branches (i.e. pharmaceutical, cosmetic, pest management, etc.). As estimated in the UNIDO and FAO 2005 Report (United Nations Industrial 55 56 Development Organization and Food and Agriculture Organization, 2005), approximately 43 tons of basil EO are traded annually, with a total trade value of 2800000 \$. The October 2016 Market Insider Report on 57 58 Essential Oils and Oleoresins of the International Trade Center (INTRACEN, 2016) presents the prices per kg of basil essential oil based on its geographical origin and production method (see Table 1). 59

The FAO climate biome classification (http://ecocrop.fao.org) reports basil as a species which tolerates well tropical and subtropical climates, both in wet and humid conditions, and oceanic climate: differences in the growth conditions lead to the development of different chemotypes of basil, each of them with a characteristic aroma and taste determined by a pool of several compounds (Lee, Umano, Shibamoto, & Lee, 2005).

Such an adaptable species, with a large worldwide use and added-value sector interest, is a viable candidate as a crop to invest in, even in developing countries. However, seasonal changes lead to variability in the contents of most of the chemical constituents (Hussain et al., 2008). Also, the light irradiation can contribute to changes in metabolic compounds: UV-B and blue light affect the generation of phenolic compounds inbasil (Shiga et al., 2009).

To overcome the lack of cultivable areas, Ocean Reef Group developed the Nemo's Garden<sup>®</sup> Project, 70 looking at new branches of green and blue economy. Nemo's Garden<sup>®</sup> may represents an alternative system 71 72 of agriculture, particularly useful for herbal crops, especially dedicated to those areas where environmental 73 conditions, economical or geo-morphological reasons make plants growth extremely difficult (Princi et al., 2016). The technology developed in the framework of Nemo's Garden® Project consists of underwater 74 75 greenhouses called 'biospheres' (Dini, Princi, Gamberini, & Gamberini, 2016). They are air-filled domes 76 made of acrylic (transparent plastic material) that are anchored to the bottom of the sea by many chains, floating from 5 to 10 meters depth in front of the shoreline of the Noli town, close to Savona, Italy. They 77 hold approximately 2000 liters of air. Nemo's Garden<sup>®</sup> Project started in 2012, but since 2015 a systematic 78 79 study on the characteristics of plants grown underwater has been started to understand the effect of the marine environment on them. Several plant species were cultivated in the Nemo's Garden<sup>®</sup> biospheres. Basil 80 81 was chosen as model plant to study its phytochemical, physiological, and micromorphological characteristics 82 in comparison with plants of the same variety grown in a terrestrial environment in the CREA Centre at 83 Sanremo (Imperia, Liguria, Italy) greenhouses, very close to Noli. The aim of the present study was the 84 evaluation of the micromorphological, phytochemical and physiological responses to this environment, 85 where the terrestrial greenhouse is substituted by an underwater biosphere.

Nemo's Garden<sup>®</sup> represents a very promising project, an attempt to answer the urgent need for new
agriculture systems: further studies are needed to assess the effects that these new environmental conditions
exert on different crops.

- 89 2. Materials and methods
- 90 2.1. Plant material and growth conditions
- 91 2.1.1. Control samples

92 The control samples were sown at CREA in Sanremo on 19 August 2015 in 1-liter plastic pots filled with 93 mineral wool and coconut fiber 50:50 v/v. The plants were grown in a greenhouse until 15 October 2015, when the samples were collected. Fertigation was accomplished every 1-2 days with a nutrient solution containing N:P<sub>2</sub>O<sub>5</sub>:K<sub>2</sub>O=1:0.7:1 and microelements. Inside the greenhouse the maximum daily light intensity ranged between 23000 and 35000 lux (605-920  $\mu$ mol/m<sup>2</sup>/s), the daily temperature between 18.0 and 30.0 °C (with a mean  $\Delta$ T of 7.0 °C). The mean daily relative humidity ranged between 42 and 63%, depending on the day. Samples were collected and used either fresh or dried at natural room conditions.

## 99 2.1.2. Nemo's Garden<sup>®</sup> samples

In the underwater farm, seeding occurred on 25 August 2015. Seeds were sown in slabs of mineral wool and 100 101 coconut fiber and then inserted into pots with perlite substrate. The pots were placed into a biosphere located 102 5 m below the sea level. The fertilizing solution (5% v/v of Aerogarden) was provided every 2 weeks. Basil 103 plants were collected on 13 October 2015 and brought to the surface with the aid of pressurized cases. To 104 avoid burning damages, they have been kept away from direct light prior to analyses. Inside the biosphere, 105 only the natural lighting was exploited: maximum light intensity ranged between 8000 and 10000 lux (152-106 190 µmol/m<sup>2</sup>/s) with natural photoperiod. The daily temperature ranged between 27 and 30 °C. The 107 temperature variation between day and night was around 3-4 °C, with an average relative humidity around 108 80%. Samples were collected and used either fresh or dried at natural room conditions.

#### 109 2.2. Phytochemical analyses

## 110 2.2.1. Essential oil hydrodistillations

111 The hydrodistillations were performed in a Clevenger type apparatus, equipped with an electric mantle heater 112 for 2 hours (traditional method). The control sample extraction yield is 0.016% (calculated on 61 g fresh 113 weight); the Nemo's Garden sample extraction yield is 0.025% (calculated on 80 g fresh weight).

#### 114 2.2.2. Head-Space Solid Phase Micro-Extraction Sampling

Supelco SPME (Solid Phase Micro-Extraction) devices coated with polydimethylsiloxane (PDMS, 100  $\mu$ m) were used to sampling the headspace. SPME sampling was performed using the same new fibre, preconditioned according to the manufacturer instructions, for all the analyses. Sampling was accomplished in an air-conditioned room (22±1°C) to guarantee a stable temperature. After 30 minutes of equilibration time, the fiber was exposed to the headspace for 2 minutes. Once sampling was finished, the fiber was withdrawn into the needle and transferred to the injection port of the GC-MS system. The desorption conditions were identical for all the samples. Furthermore, blanks were performed before each first SPME extraction and randomly repeated during each series. Quantitative comparisons of relative peaks areas were performed between the same chemicals in the different samples.

## 124 2.2.3. GC/MS and Volatiles Analysis

The GC/EI-MS analyses were performed with a Varian CP-3800 apparatus equipped with a DB-5 capillary column (30 m X 0.25 mm i.d., film thickness 0.25 μm) and a Varian Saturn 2000 ion-trap mass detector. The oven temperature was programmed rising from 60° C to 240° C at 3° C/min; injector temperature, 220°C; transfer-line temperature, 240° C; carrier gas, He (1 mL/min).

The identification of the constituents was based on the comparison of their retention times ( $t_R$ ) with those of pure reference samples and their linear retention indices (LRIs) determined relatively to the  $t_R$  of a series of *n*-alkanes. The mass spectra were compared with those listed in the commercial libraries NIST 14 and ADAMS and in a home-made mass-spectral library, built up from pure substances and components of known oils, and MS literature data (R. P. Adams, Zanoni, Lara, Barrero, & Cool, 1997; Robert P. Adams, 1995; Davies, 1990; Jennings & Shibamoto, 1982; Masada, 1976; Stenhagen, Abrahamsson, & McLafferty, 1974; Swigar & Silverstein, 1981).

### 136 2.3. Physiological analyses

#### 137 2.3.1. Pigment analyses

Total chlorophyll and carotenoids contents were determined using the method described by Lichtenthaler (Lichtenthaler, 1987). Fresh leaves (50 mg fresh weight) were extracted in 5 mL of methanol and kept at 4°C in the dark for 24 h. The absorbance of the extracts at 665, 652, and 470 nm was measured using a UV-VIS spectrophotometer (Cintra 101, GBC Scientific Equipment LTD, Dandenong, Australia) and the content of total chlorophyll and carotenoids were expressed as mg g<sup>-1</sup> fresh weight. The presented data are the means of three independent replicates.

#### 145 2.3.2. Total phenolic compounds

Dried leaves (0.02 g) were pulverized and homogenized in a mortar with 1 mL of 70% (v/v) methanol to 146 147 facilitate the extraction. After 30 minutes of incubation on ice, the extracts were centrifuged at 14.000 g for 148 20 minutes at room temperature to collect the supernatant (methanol extract) to be used for the determination 149 of secondary metabolites. Total soluble polyphenolic compounds were assayed in different sample extracts 150 using the Folin-Ciocalteau's phenol protocol with minor modification (Singleton & Rossi, 1965). 0.5 mL of 151 Folin-Ciocalteau's reagent and 0.45 mL of sodium carbonate (7.5% w/v) were added to 1 mL of total volume sample. After the incubation at room temperature for 2 h, the absorbance at 765 nm of the samples was 152 measured in UV-VIS spectrophotometer (Cintra 101, GBC Scientific Equipment LTD, Dandenong, 153 Australia) and referred to a standard curve for gallic acid prepared in the range of 0-50 mg/mL. All 154 155 determinations were performed in triplicate.

### 156 2.3.3. DPPH scavenging ability

The antioxidant activity of each basil methanol extract was determined using a modified version of the 2,2diphenyl-1-picrylhydrazyl radical (DPPH) scavenging assay (Kim, Chun, Kim, Moon, & Lee, 2003). The activity was measured as a decrease in absorbance at 517 nm using the UV-VIS spectrophotometer. The percent inhibition of the DPPH radical by the samples was calculated according to the formula:

#### 161 % inhibition = $(A_{blank} - A_{sample} / A_{blank}) \times 100$

where  $A_{blank}$  is the absorbance of the DPPH and  $A_{sample}$  is the absorbance of the samples. The extract concentration (µg/mL) providing 50% of antioxidant activities (IC<sub>50</sub>) was calculated by plotting on a graph inhibition percentage against extract concentration. All determinations were performed in triplicate.

#### 165 2.4. Micromorphological analyses

166 Fresh mature leaves for micromorphological investigation were gathered simultaneously to the collection of 167 the plant material for both phytochemical and physiological analyses. At least ten leaves, similar for total 168 size, position and developmental stage were selected from the control and Nemo's plants. Light microscopy (LM) and scanning and transmission electron microscopy (SEM and TEM) were used to examine the different types of secreting trichomes, their distribution pattern, their histochemistry and the ultrastructure of the glandular cells.

172 2.4.1. SEM investigation

Plant material was first hand-prepared, fixed in 2.5% glutaraldehyde in phosphate buffer (0.1 M, pH 7.2),
dehydrated in an ascending ethanol series up to absolute and then dried using a critical-point-dryer apparatus.
The samples, mounted on aluminum stubs, were coated with gold and observed with a Philips XL 20 SEM
operating at 10 kV.

177 2.4.2. LM investigation

178 The samples were frozen, sectioned and stained with various histochemical techniques in order to evidence the chemical nature of the secretory products and to specifically locate the sites of terpene accumulation and 179 release. The following methods were employed: Fluoral Yellow 088 for total lipids (Brundrett et al., 1991), 180 Nile Red for neutral lipids (Greenspan et al., 1985), Nadi reagent for terpenes (David and Carde, 1964), 181 182 Ruthenium Red (Jensen, 1962) and Alcian Blue (Beccari and Mazzi, 1966) for acidic polysaccharides, 183 Mercuric Bromophenol Blue for proteins (Mazia et al., 1953), Ferric Trichloride for polyphenols (Gahan, 1984) and Aluminium Trichloride for flavonoids (Mazia et al., 1953). Control procedures were carried out at 184 the same time. Observations were made with a Leitz DM-RB Fluo optical microscope. 185

186 2.4.3. TEM investigation

Small segments of plant material were fixed in 2.5% glutaraldehyde in 0.1M phosphate buffer at pH 7.2 and post-fixed in 2% OsO<sub>4</sub>, dehydrated in ethanol in ascending grades up to absolute, and embedded in Spurr's resin. Ultrathin sections were stained with uranile acetate and lead citrate. Samples were examined with a Philips EM-300 TEM.

- 191 **3. Results and discussion**
- 192 *3.1. Phytochemical investigation*
- 193 *3.1.1. Essential oils compositions*

The control basil extraction yield is 0.016%. This essential oil composition reveals a methyl eugenol -194 195 linalool chemotype: the former is a phenylpropanoid, which accounts for 22.8% (see Table 2), whilst the 196 latter is an oxygenated monoterpene with a relative abundance of 20.1%. These two classes of compounds 197 are the most relevant ones: combined, they represent more than 60% of the total composition, as each one 198 accounts for more than 30%. Eugenol, another phenylpropanoid, follows, with a relative abundance of 8.7%. 199 Among oxygenated monoterpenes, 1,8-cineole shows a relevant presence (6.9%). Sesquiterpene 200 hydrocarbons are the third most represented chemical class (24.8%), of which *trans*- $\alpha$ -bergamotene is the 201 most abundant one (7.4%).

202 Basil shows a wide number of chemotypes: cultivars and the geographical origin are the main reasons for 203 such a variability in its essential oil composition. Lawrence (1988) identified four main chemotypes of basil: 204 i) methyl chavicol, ii) linalool, iii) methyl eugenol, iv) methyl cinnamate. Graver et al., 2004 reported that 205 basil essential oil major (relative abundance over 20%) components are extremely variable among different 206 genotypes: these compounds are generally methyl chavicol, eugenol and/or methyl eugenol among the 207 phenylpropanoids and/or linalool, geranial and/or neral among the oxygenated monoterpenes. 208 Grayer et al. (1996) classified 5 main basil chemotypes: i) linalool, ii) methyl chavicol, iii) linalool and 209 methyl chavicol, iv) linalool and eugenol, v) methyl chavicol and methyl eugenol. Koutsos et al. (2009) identified four main basil chemotypes based on their geographical origin: i) European basil, rich in linalool 210 (35-50%) and methyl chavicol (15-25%); ii) Reunion basil, rich in methyl chavicol (>80%); iii) Tropical 211 basil, rich in methyl cinnamate; iv) Java basil, rich in eugenol. Özcan and Chalchat (2002) reported the 212 213 composition of a Turkish Ocimum basilicum mainly rich in methyl eugenol: in their essential oil, it accounts 214 for up to 78.02%. The relevant presence of methyl eugenol in basil essential oil has been studied by Miele et 215 al. (2001): they reported a negative correlation on plants height and methyl eugenol relative abundance, since in young specimens (up to 10-12 cm) methyl eugenol showed a more relevant presence than in older (and 216 taller) ones. In this study, both control and Nemo's Garden<sup>®</sup> samples are young specimens, approximately 7 217 218 cm high: as well as a chemotype matter, this could be the reason of such a relevant methyl eugenol relative 219 abundance.

The composition of Nemo's Garden<sup>®</sup> basil essential oil (extraction yield 0.025%) shows a methyl eugenol chemotype: this phenylpropanoid represents 49.6% (see Table 2) of the essential oil. The very same species of basil grown in different environmental conditions shows a shift of chemotype: from methyl eugenol – linalool to methyl eugenol, as linalool only accounts for 1.3%. In comparison with the control sample, eugenol relative abundance is more than doubled. Moreover, differently from the control sample, sesquiterpene hydrocarbons are now the second most abundant (19.1%) chemical class of compounds: among these,  $\alpha$ -humulene (5.9%) and *trans*- $\alpha$ -bergamotene (4.7%) have the largest abundance.

227 The shading conditions in the biospheres are heavy, as the light intensity that reaches the plants is reduced by 80-90% (152-190 µmol/m<sup>2</sup>s) in comparison with unshaded greenhouse conditions (600-1600 µmol/m<sup>2</sup>s on 228 average (Chang et al. (2008))) due to the water depth and the biosphere material. Chang et al. (2008) studied 229 the behaviour of the three major compounds (eugenol, methyl eugenol and linalool) in the essential oils 230 231 hydrodistilled from O. basilicum cv. 'Basil Sweet Genovese' grown under different shading conditions 232 obtained with shading nets. High daily light integrals significantly increased linalool and eugenol relative 233 abundances, whilst methyl eugenol showed a relevant increment with lower daily light integrals. Other 234 aroma active compounds of basil, like 1,8-cineole, weren't influenced by the intensity of light. In accordance 235 with the latter, in the present study, linalool shows a significant decrement from the control (20.1%) to the Nemo's Garden<sup>®</sup> (1.3%) sample and methyl eugenol evidenced a more than two-fold enhancement (from 236 237 22.8% in the control conditions to 49.6% in the Nemo's biospheres). However, in the studied basil, eugenol shows an increment from control (8.7%) to underwater biosphere (17.2%) conditions: this could be due to 238 239 the differences in the red/far-red ratio, as the light quality is as important a parameter as the light intensity 240 (Morelli & Ruberti, 2002). The total amount of essential oil significantly increased with increase of the 241 radiant energy, particularly detected in the case of the most important flavor compounds 1,8-cineole, 242 linalool, and eugenol. Moreover, the level of the main compound, methyleugenol, significantly decreased 243 with UV-B radiation: this result is important because this compound is of toxicological concern to human 244 health due to the structural similarity to known carcinogenic phenylpropanoids, such as estragole (Nitz & Schnitzler, 2004). 245

246 3.1.2. Head-Space Solid Phase Micro-Extraction (HS-SPME)

The spontaneous volatile emission of the aerial parts of the control sample is mainly rich in monoterpenes, that cumulatively reach 74.2% of the total head-space. The oxygenated ones represent half of the total emission (51.6%, see Table 3): 1,8-cineole is the most abundant VOC, accounting for 43.1%, followed by linalool (7.2%). The most important monoterpene hydrocarbons are  $\beta$ -pinene (6.8%) and (*E*)- $\beta$ -ocimene (6.5%). Among sesquiterpene hydrocarbons, *trans*- $\alpha$ -bergamotene is the most abundant one (7.3%).

In the basil head-space from Nemo's Garden<sup>®</sup>, the aroma profile is dominated by sesquiterpene hydrocarbons, that reach up to 61.2%. Among these, *trans-* $\alpha$ -bergamotene is the most relevant (26.0%), followed by  $\alpha$ -humulene (17.3%). Oxygenated monoterpenes are significantly represented (27.2%) in this sample head-space, as well, and 1,8-cineole alone represents most of this class relative abundance, as it accounts for 25.4%. Phenylpropanoids show a similar relevance in both samples: 4.7 and 4.5% in the control and Nemo's Garden<sup>®</sup> basil, respectively.

258 (*E*)- $\beta$ -farnesene is significantly represented in the control sample, where it accounts for up to 6.0%, whilst it 259 is not detected in the biosphere sample. The opposite behaviour is shown by  $\gamma$ -muurolene: it is not detected 260 in the control sample, while it reaches 4.5% in the Nemo's basil head-space.

These divergent emission profiles are due to the different growth environment conditions. Besides the metabolic changes induced by the different light and humidity, the biospheres represent a closed and protected environment, in which no pollinators, nor parasites, are present.

#### 264 *3.2. Physiological investigation: metabolites analyses*

265 The results are reported in Table 4. The analysis of photosynthetic pigments (chlorophylls and carotenoids) showed that basil plants grown in Nemo's Garden<sup>®</sup> have higher amounts of these compounds than control 266 plants. This can be due to the lower level of irradiance of Nemo's plants, so that the photosynthetic pigments 267 are more present to counteract the low efficiency. In fact, the content of Chlorophyll a (Chla), the most 268 important for the photons capture, is in good balance with the amount of Chlorophyll b (Chlb) in control 269 270 plants, whereas Nemo's plants showed a lower content of Chla: therefore, the Chla/Chlb ratio is optimal for control plants, but very low for Nemo's plants. The carotenoids/chlorophylls ratio may often be a good 271 272 indicator of stress in plants (Hendry & Price, 1993): in Nemo's basil the ratio is similar to that of the control 273 one, indicating that the plants are well adapted to this new condition. To better analyze the avoidance of 274 some stress conditions, total polyphenols have been determined. Polyphenols content is slightly higher in Nemo's plants than in control leaves: according to these results, the antioxidant activity (expressed as  $IC_{50}$  of 275 276 DPPH antioxidant activity) is lower than in control plants. In past papers, Shiga et al. (2009) demonstrated 277 that basil leaves were influenced by light treatments, changing their relative polyphenol content and the corresponding antioxidant activity. Cheynier et al. (2013) reported that the polyphenols synthesis is 278 279 influenced (stimulated, in some cases) by exposure to a specific light spectrum. On the other hand, the 280 mechanism of the influence is genus- or species-specific, therefore the overall mechanism is not well 281 understood. Demotes-Mainard et al. (2016) described the influence of red and far red light on the vegetative 282 and reproductive stages of horticultural plants. However, they concluded that the phenotypic response to red, 283 far-red and R:FR can vary among species, but also with growing conditions. Studies aiming at the discovery of the mechanisms of such differences can include the plants of Nemo's Garden<sup>®</sup>, as well. The results of the 284 285 metabolites detected in Nemo's plant indicate that the plants do not show oxidative stress, although some light influence should be better investigated. 286

287 3.3. Micromorphological investigation

288 3.3.1. SEM investigation

SEM observations allowed to examine and compare trichome morphotypes and distribution on the leaves of
both control and Nemo's samples. A high level of consistency was found for the *indumentum* features (Fig.
1a-e).

The non-glandular hairs are short, simple, uniseriate, with a pointed apex and a smooth cuticular surface; they are predominantly located on the median and secondary ribs of the abaxial leaf surface (Fig. 1a-c). As regards to the glandular trichomes, peltates and two basic types of capitates have been observed (Fig. 1).

The peltates are constituted by one or two basal epidermal cells, one neck cell and by a four-celled secreting head (40-60  $\mu$ m in diameter, Fig. 1d-e), surmounted by a wide subcuticular space where the secreted material accumulates; the breakage of the outer cuticle is occasionally observed (Fig. 1c). The capitate trichomes are formed by a basal epidermal cell, one neck cell and by one or two apical secreting cells. The diameter of the glandular head is about 20-25  $\mu$ m, while the trichome length is approximately 30  $\mu$ m (Fig. 1 d-e) The diversity in head morphology allowed the recognition of two types of capitate hairs: type I with a unicellular head and type II with a bicellular head (Fig. 1 d-e), the first being sporadic.

The leaf primordia show a high density of glandular trichomes at the proximal and middle regions, while the distal portion appears mostly hairless. With the ongoing of leaf development, trichomes density decreases. The adaxial and abaxial surfaces exhibit a homogeneous distribution pattern (Fig. 1a-b): capitates are preferably located along the veinal system, whereas peltates are uniformly distributed over the entire lamina.

These trichomes possess overall morphological features comparable to those already known in the literature
(Giuliani and Maleci Bini, 2008; Hallahan, 2000; Werker, 2000).

308 *3.3.2. LM investigation* 

The results of the histochemical investigation are reported in Table 5. The chemical nature of the secretoryproducts of all the glandular trichomes proved consistent in the control and Nemo's plants.

The peltates exhibit great affinity for the dyes specific for lipophilic substances: indeed, intense orange and yellow-greenish colorations of the secretory products result following the application of Nile Red and Fluoral Yellow-088, respectively. The NADI reagent, specific for terpenes, displays a strong positive response (Fig. 1f). The dyes for total phenols and flavonoids evidence the cytoplasm of the secreting cells.

Type I capitate trichomes show an exclusive positive response to the NADI reagent, which highlights the glandular head and few droplets of secreted material outside the apical periclinal wall (Fig. 1g). The secreted material of type II capitates shows affinity only for the dyes specific for polysaccharides (PAS reaction, Fig. 1h) and proteins.

The peltates and type I capitates are typical terpene producers, whereas the type II capitates are responsible for the synthesis of polysaccharides. Minor fractions of polyphenols and flavonoids, beside the dominance of terpenes, are presumably produced by peltates, but a clear response is not achieved for these types of substances.

Based on these observations, the overall production of volatiles and essential oils is related to the activity ofpeltates and type I capitate.

325 *3.3.3. TEM investigation* 

326 TEM observations involve the secreting cells of mature peltate and of type II capitate trichomes (Fig. 1 i-k).327 They allowed to confirm the preliminary histochemical results.

In all the types of glandular hairs numerous plasmodesmata cross the periclinal walls between all the cells constituting the trichome and the anticlinal walls of the secreting head. This ultrastructural feature evidences that all the trichome cells are involved in the production and release of the secreted material.

331 In the active peltate trichomes, the most striking ultrastructural feature is the occurrence of numerous plastids 332 with an irregular internal membrane system and evident plastoglobuli associated to periplastidial smooth endoplasmic reticulum (Fig. 1i). In the area below the subcuticular space the plasmalemma is crenulated and 333 slightly detached from the wall, forming a thin periplasmatic space in which small vesicles are visible (Fig. 334 1). At this stage, the well-developed subcuticular space contains materials of different appearance: small 335 336 electrondense globules of lipophilic nature, immersed in an abundant granular matrix, presumably 337 constituted by phenols. These evidences confirm the results of the histochemical tests as abundant plastids 338 and smooth endoplasmic reticulum are the cell compartments responsible for the production and transport of 339 terpenic substances, which are among the main components of the essential oil (Hallahan, 2000).

At the active secretory phase, the secreting cell cytoplasm of the type II trichomes is characterized by abundant dictyosomes, originating a large number of vesicles, and by a well-developed rough endoplasmic reticulum often surrounding vacuoles (Fig. 1k). These ultrastructural features, and the histochemical results of the PAS reaction, indicate the production of polysaccharides (Giuliani and Maleci Bini, 2008). In addition, the occurrence of rough endoplasmic reticulum in association with dictyosomes suggests that the polysaccharidic secretion is associated with the synthesis of proteic material.

## 346 4. Conclusions

347 The most evident phytochemical responses to the growth conditions the samples have undergone inside the348 biospheres were the essential oil chemotype switch and the very different spontaneous emission patterns,

349 highlighting the plant fast response to the new habitat. The differences in the spontaneously emitted volatiles 350 were more apparent than those in the essential oils if compared with the control plants: this was most 351 probably due to the differences in the environment, including the absence of pollinators, competing plants 352 and parasites, since the biosphere is a closed underwater space. The irradiance of basil cultivated in Nemo's Garden<sup>®</sup> biospheres, under several meters of seawater, lead to a change in the level of photosynthetic 353 pigments, although no micromorphological changes of the leaf *indumentum* were evidenced. It may, 354 355 therefore, be stated that the plants are well adapted to survive and grow in such conditions, as the occurred 356 changes in polyphenols amounts and antioxidant activity are less pronounced.

The biospheres environment, thus, affected more the phytochemical and physiological responses: the chemotype change and very different volatile profiles were evidenced for the former, and an increased production of chlorophylls, carotenoids and polyphenols for the latter. The micromorphology of the plants, though, was not affected.

The Nemo's Garden<sup>®</sup> underwater farm represents a promising alternative system to standard agriculture to be introduced in areas where the cultivable soil is scarce, or the climatic conditions are not good for some type of plants. Indeed, the underwater farm provides a new environment for plants to grow in. Further studies are needed to assess the adaptation of distinct species to the marine conditions, especially pressure. This pioneering plant growth system could be interestingly applied to grow food and/or spice plants, as well as being adapted for species of pharmaceutical interest, whose useful secondary metabolites could increment/change in a desirable direction due to the various stress conditions they are subjected to.

368

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## 372 Conflict of interest

373 The authors have no conflict of interest to declare.

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## 486 Caption to Figure 1

487 a-c. Trichomes distribution pattern in the Nemo's samples of Ocimum basilicum, SEM: a. Leaf abaxial 488 surface with glandular and non-glandular trichomes. b. Leaf adaxial surface with glandular and non-489 glandular trichomes c. Particular of the leaf abaxial surface. d-e. Trichomes morphotypes in Ocimum 490 basilicum - peltates, type I and II capitates, SEM: Nemo's samples (d); control samples (e). f-h. Histochemistry of the glandular trichomes of Ocimum basilicum, LM: Nadi reagent in peltate (f) and capitate 491 492 type I (g) trichome; PAS reaction in type II trichome (h). i-k. Ultrastructure of the glandular trichomes of 493 Ocimum basilicum, TEM: secreting cell cytoplasm of a peltate trichome (i); particular of the outer anticlinal 494 wall in a peltate trichome (j); secreting cell cytoplasm of a type II capitate trichome (K).

- 495 Symbols: cu, cuticular layer; D, dictyosomes; p, plastid; pc, pectic-celluosic layer; RER, rough endoplasmic
- 496 reticulum; SER, smooth endoplasmic reticulum; v, vacuole

## 498 Tables

# 499 Table 1 INTRACEN Essential Oils and Oleoresins October 2016 Report on basil EO

Production method	Origin	Prices (\$) / kg
Standard	Comoros	125
	Egypt	82
	Vietnam	90
	India	15
Organic	Egypt	187

# **Table 2** Compositions of the essential oils hydrodistilled from the control sample and the Nemo's Garden

502 basil

l.r.i. <sup>a</sup>	Constituents	Relative abu	<b>Relative abundance (%)</b>	
		CONTROL	NEMO'S	
928	tricyclene	0.2	_b	
941	α-pinene	-	0.1	
976	sabinene	0.4	0.2	
982	β-pinene	0.7	0.3	
993	myrcene	0.8	0.2	
1001	octanal	0.1	tr <sup>c</sup>	
1011	δ-3-carene	0.1	tr	
1034	1,8-cineole	6.9	4.8	
1052	( <i>E</i> )-β-ocimene	1.3	0.6	
1070	cis-sabinene hydrate	0.3	0.2	
1088	terpinolene	0.8	0.3	
1101	linalool	20.1	1.3	
1111	1-octen-3-yl acetate	0.2	-	
1143	camphor	0.6	0.7	
1170	δ-terpineol	0.1	0.1	
1191	α-terpineol	0.8	0.6	
1197	methyl chavicol	-	0.8	
1214	<i>n</i> -octanol acetate	0.4	0.2	
1285	isobornyl acetate	2.8	1.0	
1352	α-terpinyl acetate	0.2	_	
1358	eugenol	8.7	17.2	
1376	α-copaene	0.2	-	
1380	(E)-methyl cinnamate	-	0.7	
1390	β-cubebene	0.6	-	
1392	β-elemene	0.2	0.2	
1403	methyl eugenol	22.8	49.6	
1420	β-caryophyllene	0.2	0.9	
1438	trans-a-bergamotene	7.4	4.7	
1456	α-humulene	1.6	5.9	
1460	( <i>E</i> )-β-farnesene	5.2	3.1	
1462	cis-muurola-4(14),5-diene	0.1	-	
1477	γ-muurolene	-	2.0	
1481	germacrene D	2.7	-	
1490	$(E,Z)$ - $\alpha$ -farnesene	0.7	-	
1495	bicyclogermacrene	1.9	0.5	
1505	α-bulnesene	2.3	1.3	
1513	trans-y-cadinene	1.3	0.2	
1524	β-sesquiphellandrene	0.5	0.3	
1535	( <i>E</i> )-γ-bisabolene	-	0.1	
1581	caryophyllene oxide	0.2	-	
1614	1,10-di-epi-cubenol	0.6	tr	

1640	<i>epi</i> -α-cadinol	6.0	0.5
1650	β-eudesmol	-	0.5
1692	methyl-p-methoxycinnamate	-	0.1
1843	( <i>E</i> , <i>E</i> )-farnesyl acetate	-	0.6
	Monoterpene hydrocarbons	4.2	1.7
	Oxygenated monoterpenes	31.8	8.7
	Sesquiterpene hydrocarbons	24.8	19.1
	Oxygenated sesquiterpenes	6.8	1.6
Phenylpropanoids		31.4	68.4
	Other non-terpene derivatives	0.8	0.2
	Total identified (%):	99.7	99.6
	Extraction yield (% w/w):	0.016	0.025
<sup>a</sup> Linear ro <sup>b</sup> Not dete <sup>c</sup> Traces, 1	etention indices on a DB5 column ceted relative abundance <0.1%		

l.r.i. <sup>a</sup>	Constituents	<b>Relative abundance (%)</b>			
		CONTROL	NEMO'S		
982	β-pinene	6.8	3.6		
993	myrcene	4.3	_b		
1011 δ-3-carene		0.9	-		
1034	1,8-cineole	43.1	25.4		
1052	( <i>E</i> )-β-ocimene	6.5	0.9		
1070	cis-sabinene hydrate	0.1	0.4		
1088	terpinolene	3.0	1.6		
1101	linalool	7.2	0.3		
1143	camphor	0.8	0.7		
1191	α-terpineol	0.4	0.5		
1340	δ-elemene	0.2	0.6		
1351	α-cubebene	0.1	-		
1358	eugenol	0.8	1.9		
1376	α-copaene	0.2	0.4		
1390	β-cubebene	0.1	-		
1392	β-elemene	1.0	3.9		
1403	methyl eugenol	3.8	2.5		
1416	cis-a-bergamotene	-	0.4		
1420   β-caryophyllene		0.5	2.3		
1438	<i>trans</i> -α-bergamotene	7.3	26.0		
1441 aromadendrene		-	0.2		
1456	α-humulene	0.9	17.3		
1460	( <i>E</i> )-β-farnesene	6.0	-		
1462	cis-muurola-4(14),5-diene	-	0.3		
1477	γ-muurolene	-	4.5		
1481	germacrene D	1.3	-		
1490	( <i>E</i> , <i>Z</i> )-α-farnesene	0.4	-		
1495	bicyclogermacrene	0.6	1.4		
1505	α-bulnesene	0.6	2.4		
1509	β-bisabolene	0.1	-		
1513	<i>trans-γ</i> -cadinene	0.6	1.0		
1524	β-sesquiphellandrene	0.3	0.6		
1640	<i>epi</i> -α-cadinol	tr <sup>c</sup>	0.3		
1815	2-ethylhexyl salicylate	0.4	-		
1903	3,3,5-trimethylcyclohexyl salicylate	0.4	-		
2000	<i>n</i> -eicosane	-	0.2		
	Monoterpene hydrocarbons	22.6	6.1		
	Oxygenated monoterpenes	51.6	27.2		
	Sesquiterpene hydrocarbons	20.2	61.2		
	Oxygenated sesquiterpenes	_	0.3		
	Phenylpropanoids	4.7	4.5		

# **Table 3** Volatile organic compounds in the samples head-spaces

	Other non-terpene derivatives	0.8	0.2		
	Total identified:	99.8	99.4		
<sup>a</sup> Linear retention indices on a DB5 column					
<sup>b</sup> Not detected					
<sup>c</sup> Traces, relative abundance <0.1%					

506	Table 4 Determination of foliar pigments (clorophyll a, chlorophyll b, total chlorophylls) and total
507	carotenoids (mg g-1 FW), polyphenol content (mg/g DW GA equivalent), IC <sub>50</sub> of the free radical (DPPH)
508	scavenging activity of one-month old basil plants collected in Nemo's Garden® (September) and in control
509	plants grown in terrestrial aerial condition. Mean values were obtained from 3 independent replicates ± SD.

	<i>Ocimum basilicum</i> L. Nemo's Garden <sup>®</sup>	<i>Ocimum basilicum</i> . L. Control
Chlorophyll a (mg/g FW)	$2.378 \pm 0.006$	$0.942 \pm 0.003$
Chlorophyll b (mg/g FW)	$2.156 \pm 0.005$	$0.358 \pm 0.001$
Total Chlorophyll (mg/g FW)	$4.534 \pm 0.011$	$1.30 \pm 0.004$
Ratio Chlorophyll a/ Chlorophyll b	1.1	2.63
Total carotenoids (mg/g FW)	$0.165 \pm 0.01$	$0.065 \pm 0.009$
<b>Ratio Carotenoids/ Chlorophylls</b>	27.2	20
Total polyphenols (mg/g DW)	$4.25 \pm 0.15$	$3.75 \pm 0.47$
IC <sub>50</sub> DPPH (mg DW/ml)	$0.165 \pm 0.05$	$0.217 \pm 0.06$

- **Table 5** Histochemical results on the leaf glandular trichomes of the control and Nemo's plants of *Ocimum*
- *basilicum*.

Staining	Target C compounds c	Observed colour	peltate		type I capitate		type II capitate	
procedure			Control	Nemo's	Control	Nemo's	Control	Nemo's
Nile red	Neutral lipids	Golden-yellow	++	++	-	-	-	-
Fluoral yellow-088	Total lipids	Yellow to orange	++	++	±	±	-	-
Nadi reagent	Terpenes	Violet-blue	++	++	+	+	-	-
FeCl <sub>3</sub>	Polyphenols	Emerald-green	+ *	+ *	-	-	-	-
AlCl <sub>3</sub>	Flavonoids	Blue-green	+ *	+ *	-	-	-	-
PAS reaction	Polysaccharides	Red-pinkish	-	-	-	-	++	+
Hg Bromophenol Blue	Proteins	Blue	+ *	± *	+ *	+ *	+ *	+ *

*Results:* (-) *absent;* (±) *scarce,* (+) *intense, and* (++) *very intense;* 

\*positive response for the cytoplasm of the secreting cells

Figure 1

